

# On Solving MaxSAT Through SAT

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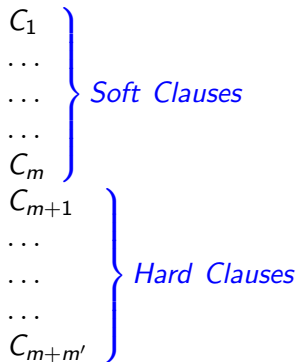
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**Partial** MaxSat is the problem of finding an **assignment** such that **no hard** clause is falsified and the **minimum** number of **soft** clauses are falsified.

# Weighted Partial MaxSat

$$\begin{array}{l} w_1 : C_1 \\ \dots \\ \dots \\ \dots \\ w_m : C_m \end{array} \left. \vphantom{\begin{array}{l} w_1 : C_1 \\ \dots \\ \dots \\ \dots \\ w_m : C_m \end{array}} \right\} \textit{Soft Clauses}$$
$$\begin{array}{l} \infty : C_{m+1} \\ \dots \\ \dots \\ \dots \\ \infty : C_{m+m'} \end{array} \left. \vphantom{\begin{array}{l} \infty : C_{m+1} \\ \dots \\ \dots \\ \dots \\ \infty : C_{m+m'} \end{array}} \right\} \textit{Hard Clauses}$$

**Weighted Partial** MaxSat is the problem of finding an **assignment** that **minimizes** the cost of the falsified clauses.

# Solving Partial MaxSAT Through Satisfiability Testing

Basic approach:

$$\begin{array}{l} \varphi_k : C_1 \vee b_1 \\ \dots \\ \dots \\ \dots \\ C_m \vee b_m \\ C_{m+1} \\ \dots \\ \dots \\ \dots \\ C_{m+m'} \\ \text{CNF}(\sum_{i=1}^m b_i \leq k) \end{array} \left. \vphantom{\begin{array}{l} \varphi_k : C_1 \vee b_1 \\ \dots \\ \dots \\ \dots \\ C_m \vee b_m \\ C_{m+1} \\ \dots \\ \dots \\ \dots \\ C_{m+m'} \\ \text{CNF}(\sum_{i=1}^m b_i \leq k) \end{array}} \right\} \begin{array}{l} \text{Soft Clauses} \\ \\ \\ \\ \text{Hard Clauses} \end{array}$$

if  $\varphi_{k-1}$  is unsatisfiable and  $\varphi_k$  satisfiable, then  $k$  is the optimum.

# MaxSat Solvers Based On Satisfiability Testing

Solvers at MaxSat Evaluations 2008 and 2009:

- Partial and Weighted Partial MaxSat:
  - **SAT4Java Maxsat** [D. L. Berre]
  - **Msu1.2** (implementation of FU&MALIK algorithm)  
[J. Marques-Silva, V. Manquinho and J. Planes]
  - **Msu4.0** [J. Marques-Silva and J. Planes]
  - **MsUnCore** (implementation of FU&MALIK algorithm)  
[J. Marques-Silva, V. Manquinho and J. Planes]
  - **WBO** (uses a pseudo-Boolean solver)  
[V. Manquinho, J. Marques-Silva and J. Planes]

Our solvers and technical contributions:

- A Partial MaxSat algorithm, **PM2**, and the proof of its correctness
- A Weighted version of the FU&MALIK algorithm, **WPM1** together with its proof of correctness
- A weighted version of **PM2**, **WPM2**, and its proof of correctness.

# The PM2 algorithm

**input:**  $\varphi = \{C_1, \dots, C_m, C_{m+1}, \dots, C_{m+m'}\}$

$\varphi_w := \{C_1 \vee b_1, \dots, C_m \vee b_m, C_{m+1}, \dots, C_{m+m'}\}$

$cost := 0$

$L := \emptyset$

**while true do**

$(st, \varphi_c) := SAT(\varphi_w \cup CNF(\sum_{i=1}^m b_i \leq cost))$

**if**  $st = SAT$  **then return**  $cost$

remove the hard clauses from  $\varphi_c$

**if**  $\varphi_c = \emptyset$  **then return** UNSAT

$B := \emptyset$

**for each**  $C_i \vee b_i \in \varphi_c$  **do**

$B := B \cup \{b_i\}$

$L := L \cup \{B\}$

$k := |\{\psi \in L \mid \psi \subseteq B\}|$

$\varphi_w := \varphi_w \cup CNF(\sum_{b \in B} b \geq k)$

$cost := cost + 1$

Protect all soft clauses

Optimal

Set of Cores

Call to SAT solver with  
at most cardinality

Blocking variables of the core

Num. of cores contained in  $B$

Add at least cardinality constraint

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**for each**  $C_i \vee b_i \in \varphi_c$  **do**

$B := B \cup \{b_i\}$

$L := L \cup \{B\}$

$k := |\{\psi \in L \mid \psi \subseteq B\}|$

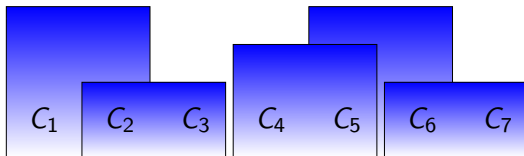
Num. of cores contained in  $B$

$\varphi_w := \varphi_w \cup CNF(\sum_{b \in B} b \geq k)$

Add at least cardinality constraint

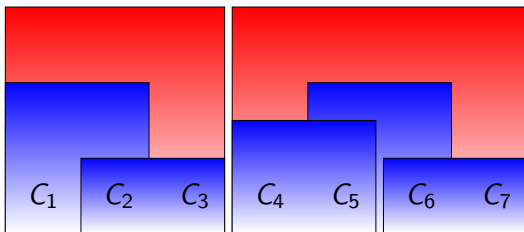
$cost := cost + 1$





## Definition (covers)

Given a set of cores  $L$ , we say that  $B_2$  is a *cover* of  $L$ , if it is a **nonempty minimal set** such that, for every  $B_1 \in L$ , if  $B_1 \cap B_2 \neq \emptyset$ , then  $B_1 \subseteq B_2$



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# The PM2.1 Algorithm

**input:**  $\varphi = \{C_1, \dots, C_m, C_{m+1}, \dots, C_{m+m'}\}$

$\varphi_w := \{C_1 \vee b_1, \dots, C_m \vee b_m, C_{m+1}, \dots, C_{m+m'}\}$

$cost := 0$

$L := \emptyset; AL := \emptyset;$

$AM := \{b_1 \leq 0, \dots, b_m \leq 0\}$

**while true do**

$(st, \varphi_c) := SAT(\varphi_w \cup AL \cup AM)$

**if**  $st = SAT$  **then return**  $cost$

remove the hard clauses from  $\varphi_c$

**if**  $\varphi_c = \emptyset$  **then return** UNSAT

$B := \{b_i \mid C_i \vee b_i \in \varphi_c\}$

$L := L \cup \{B\}$

$k := |\{B' \in L \mid B' \subseteq B\}|$

$AL := AL \cup CNF(\sum_{b \in B} b \geq k)$

$AM := \emptyset$

**for each**  $B \in coversof(L)$  **do**

$k := |\{B' \in L \mid B' \subseteq B\}|$

$AM := AM \cup CNF(\sum_{b \in B} b \leq k)$

$cost := cost + 1$

Protect all soft clauses

Optimal

Set of cores and atleast

Set of atmosts

Call to the SAT solver

Num. of cores contained in  $A$

Add new at-least cardinality constraint

Recalculate in each iteration

Num. of cores contained in the cover  $B$

Add new at-most cardinality constraint

# Example: PM2.1

1:  $x_1 \vee b_1$

1:  $x_2 \vee b_2$

1:  $x_3 \vee b_3$

1:  $x_4 \vee b_4$

$\infty$ :  $\overline{x_1} \vee \overline{x_2}$

$\infty$ :  $\overline{x_1} \vee \overline{x_3}$

$\infty$ :  $\overline{x_1} \vee \overline{x_4}$

$\infty$ :  $\overline{x_2} \vee \overline{x_3}$

$\infty$ :  $\overline{x_2} \vee \overline{x_4}$

$\infty$ :  $\overline{x_3} \vee \overline{x_4}$

$\infty$ :  $b_1 \leq 0$

$\infty$ :  $b_2 \leq 0$

$\infty$ :  $b_3 \leq 0$

$\infty$ :  $b_4 \leq 0$

# Example: PM2.1

$$1: x_1 \vee b_1$$

$$1: x_2 \vee b_2$$

$$1: x_3 \vee b_3$$

$$1: x_4 \vee b_4$$

$$\infty: \overline{x_1} \vee \overline{x_2}$$

$$\infty: \overline{x_1} \vee \overline{x_3}$$

$$\infty: \overline{x_1} \vee \overline{x_4}$$

$$\infty: \overline{x_2} \vee \overline{x_3}$$

$$\infty: \overline{x_2} \vee \overline{x_4}$$

$$\infty: \overline{x_3} \vee \overline{x_4}$$

$$\infty: b_1 \leq 0$$

$$\infty: b_2 \leq 0$$

$$\infty: b_3 \leq 0$$

$$\infty: b_4 \leq 0$$

$$1: x_1 \vee b_1$$

$$1: x_2 \vee b_2$$

$$1: x_3 \vee b_1$$

$$1: x_4 \vee b_4$$

$$\infty: \overline{x_1} \vee \overline{x_2}$$

$$\infty: \overline{x_1} \vee \overline{x_3}$$

$$\infty: \overline{x_1} \vee \overline{x_4}$$

$$\infty: \overline{x_2} \vee \overline{x_3}$$

$$\infty: \overline{x_2} \vee \overline{x_4}$$

$$\infty: \overline{x_3} \vee \overline{x_4}$$

$$\infty: b_1 + b_2 \geq 1$$

$$\infty: b_1 + b_2 \leq 1$$

$$\infty: b_3 \leq 0$$

$$\infty: b_4 \leq 0$$

# Example: PM2.1

$$\begin{array}{l} 1: x_1 \vee b_1 \\ 1: x_2 \vee b_2 \\ 1: x_3 \vee b_3 \\ 1: x_4 \vee b_4 \\ \infty: \overline{x_1} \vee \overline{x_2} \\ \infty: \overline{x_1} \vee \overline{x_3} \\ \infty: \overline{x_1} \vee \overline{x_4} \\ \infty: \overline{x_2} \vee \overline{x_3} \\ \infty: \overline{x_2} \vee \overline{x_4} \\ \infty: \overline{x_3} \vee \overline{x_4} \\ \infty: b_1 \leq 0 \\ \infty: b_2 \leq 0 \\ \infty: b_3 \leq 0 \\ \infty: b_4 \leq 0 \end{array}$$

$$\begin{array}{l} 1: x_1 \vee b_1 \\ 1: x_2 \vee b_2 \\ 1: x_3 \vee b_1 \\ 1: x_4 \vee b_4 \\ \infty: \overline{x_1} \vee \overline{x_2} \\ \infty: \overline{x_1} \vee \overline{x_3} \\ \infty: \overline{x_1} \vee \overline{x_4} \\ \infty: \overline{x_2} \vee \overline{x_3} \\ \infty: \overline{x_2} \vee \overline{x_4} \\ \infty: \overline{x_3} \vee \overline{x_4} \\ \infty: b_1 + b_2 \geq 1 \\ \infty: b_1 + b_2 \leq 1 \\ \infty: b_3 \leq 0 \\ \infty: b_4 \leq 0 \end{array}$$

$$\begin{array}{l} 1: x_1 \vee b_1 \\ 1: x_2 \vee b_2 \\ 1: x_3 \vee b_1 \\ 1: x_4 \vee b_4 \\ \infty: \overline{x_1} \vee \overline{x_2} \\ \infty: \overline{x_1} \vee \overline{x_3} \\ \infty: \overline{x_1} \vee \overline{x_4} \\ \infty: \overline{x_2} \vee \overline{x_3} \\ \infty: \overline{x_2} \vee \overline{x_4} \\ \infty: \overline{x_3} \vee \overline{x_4} \\ \infty: b_1 + b_2 \geq 1 \\ \infty: b_3 + b_4 \geq 1 \\ \infty: b_1 + b_2 \leq 1 \\ \infty: b_3 + b_4 \leq 1 \end{array}$$

# Example: PM2.1

$$1: x_1 \vee b_1$$

$$1: x_2 \vee b_2$$

$$1: x_3 \vee b_3$$

$$1: x_4 \vee b_4$$

$$\infty: \overline{x_1} \vee \overline{x_2}$$

$$\infty: \overline{x_1} \vee \overline{x_3}$$

$$\infty: \overline{x_1} \vee \overline{x_4}$$

$$\infty: \overline{x_2} \vee \overline{x_3}$$

$$\infty: \overline{x_2} \vee \overline{x_4}$$

$$\infty: \overline{x_3} \vee \overline{x_4}$$

$$\infty: b_1 \leq 0$$

$$\infty: b_2 \leq 0$$

$$\infty: b_3 \leq 0$$

$$\infty: b_4 \leq 0$$

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$$1: x_3 \vee b_1$$

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$$\infty: \overline{x_2} \vee \overline{x_4}$$

$$\infty: \overline{x_3} \vee \overline{x_4}$$

$$\infty: b_1 + b_2 \geq 1$$

$$\infty: b_1 + b_2 \leq 1$$

$$\infty: b_3 \leq 0$$

$$\infty: b_4 \leq 0$$

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$$\infty: b_1 + b_2 \geq 1$$

$$\infty: b_3 + b_4 \geq 1$$

$$\infty: b_1 + b_2 \leq 1$$

$$\infty: b_3 + b_4 \leq 1$$

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$$\infty: \overline{x_3} \vee \overline{x_4}$$

$$\infty: b_1 + b_2 \geq 1$$

$$\infty: b_3 + b_4 \geq 1$$

$$\infty: b_1 + b_2 + b_3 + b_4 \geq 3$$

$$\infty: b_1 + b_2 + b_3 + b_4 \leq 3$$

# Weighted PM2

**input:**  $\varphi = \{(C_1, w_1), \dots, (C_m, w_m), (C_{m+1}, \infty), \dots, (C_{m+m'}, \infty)\}$

$\varphi^e := \{C_1 \vee b_1, \dots, C_m \vee b_m, C_{m+1}, \dots, C_{m+m'}\}$  Protect all soft clauses

$SC := \{\{1\}, \{2\}, \dots, \{m\}\}$  Set of Covers

$AL := \emptyset$  Set of at least constraints

$AM := \{w_1 b_1 \leq 0, \dots, w_m b_m \leq 0\}$  Set of at most constraints

**while true do**

$(st, \varphi_c) := SAT(\varphi^e \cup CNF(AL \cup AM))$  Call to the SAT solver

**if**  $st = SAT$  **then return**  $\sum\{k' \mid \sum_{i \in B'} w_i b_i \leq k' \in AM\}$

$A := \{i \mid C_i \vee b_i \in \varphi_c\}$  Indexes of blocking vars. in the core

**if**  $A = \emptyset$  **then return UNSAT**

$RC := \{B \in SC \mid B \cap A \neq \emptyset\}$  Covers to be removed

$B := \bigcup_{B' \in RC} B'$  New cover

$k := \text{newbound}(AL, B)$  New bound

$SC := SC \setminus RC \cup \{B\}$  New set of Covers

$AL := AL \cup \{\sum_{i \in B} w_i b_i \geq k\}$  Add new at-least cardinality constraint

$AM := AM \setminus \{\sum_{i \in B'} w_i b_i \leq k' \mid B' \in RC\} \cup \{\sum_{i \in B} w_i b_i \leq k\}$  Actualize at-most constraints



# Computation of the New Bound

$$\text{newbound}(AL, B) = \min\{k > \text{bound}(AL, B) \mid \text{ALU}\{\sum_{i \in B} w_i b_i = k\} \text{ is SAT}\}$$

example

$$AL = \{ 10 b_1 + 4 b_2 \geq 4, \\ 8 b_3 + 2 b_4 \geq 2 \}$$
$$B = \{1, 2, 3, 4\}$$

Then,

$$\text{bound}(AL, B) = 6$$
$$\text{newbound}(AL, B) = 12$$

# Computation of the New Bound

$$\text{newbound}(AL, B) = \min\{k > \text{bound}(AL, B) \mid \text{ALU}\{\sum_{i \in B} w_i b_i = k\} \text{ is SAT}\}$$

Computing newbound is NP-hard.

Computable as:

```
function newbound( $AL, B$ )  
 $k := \text{bound}(AL, B)$   
repeat  
     $k = \text{subsetsum}(\{w_i \mid i \in B\}, k)$   
until  $\text{SAT}(\text{CNF}(AL \cup \{\sum_{i \in B} w_i b_i = k\}))$   
return  $k$ 
```

# Experiments: Partial MaxSat Category

set	best08	WPM1	PM2.1	msu1.2	msu4.0	SAT4J
<b>Crafted</b>						
$\frac{Maxclique}{Random}$ (96)	<b>2.4(96)</b>	50.4(1)	126(54)	-(0)	106(61)	114(52)
$\frac{Maxclique}{Structured}$ (62)	<b>73(36)</b>	41.2(11)	62(12)	4.9(7)	105.2(13)	50.5(13)
$\frac{Maxone}{3SAT}$ (80)	<b>0.46(80)</b>	16(46)	22(80)	52.7(40)	118.2(35)	96.6(31)
$\frac{Maxone}{Structured}$ (60)	<b>10.1(60)</b>	0.69(2)	253(34)	122.7(2)	3.34(1)	<b>10.1(60)</b>
<b>Industrial</b>						
$\frac{Bcp}{fir}$ (59)	49(46)	<b>32 (57)</b>	18(58)	49.2(46)	-(0)	13.3(10)
$\frac{Bcp}{hipp-yRa1}$ (1183)	19(1111)	3(1122)	<b>13.5(1163)</b>	7.2(1105)	0.29(348)	12.2(1109)
$\frac{Bcp}{msp}$ (148)	<b>49(104)</b>	15.5(26)	384.2(36)	4.9(25)	22.9(79)	8.8(93)
$\frac{Bcp}{mtg}$ (215)	26(206)	5.8(170)	<b>10.5(214)</b>	17.5(164)	0.43(22)	57(196)
$\frac{Bcp}{syn}$ (74)	<b>63(34)</b>	14.1(32)	<b>71.2(34)</b>	51.1(31)	105.2(11)	67.4(21)
$\frac{Pbo}{mqc-nencdr}$ (128)	<b>167(115)</b>	80.4(50)	142(78)	50.3(54)	<b>167.5(115)</b>	180.6(102)
$\frac{Pbo}{mqc-nlogencdr}$ (128)	<b>111(128)</b>	67.1(75)	140.3(97)	53(65)	<b>111(128)</b>	117.5(126)
$\frac{Pbo}{routing}$ (15)	2.9(15)	<b>1(15)</b>	24.7(15)	2.9(15)	54.9(15)	26.4(9)

## Industrial

